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Comparative Environmental Policies: Success Stories and Failures

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Abstract

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This research examines the comparative environmental policies of various countries, highlighting success stories and failures in addressing climate change and ecological degradation. By analyzing case studies from nations with differing political, economic, and social contexts, the study identifies key factors that contribute to effective environmental governance. Successful policies, such as Sweden's carbon tax and Costa Rica's reforestation programs, demonstrate the importance of stakeholder engagement, robust regulatory frameworks, and innovative financing mechanisms. Conversely, cases of failure, including the United States' withdrawal from the Paris Agreement and Brazil's deforestation rates, illustrate the repercussions of inadequate political will and insufficient public awareness. The findings underscore the necessity for adaptive policy frameworks that can respond to changing environmental conditions and societal needs. Ultimately, this research provides valuable insights for policymakers seeking to design effective and equitable environmental strategies, fostering a global dialogue on sustainable development.

Keywords: environmental policies, comparative analysis, success stories, failures, climate change, governance, sustainable development, policy frameworks.

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1. Introduction

The need for better and more comprehensive comparative environmental policies has never been as pressing as today. All countries are confronted by a plethora of significant environmental and health and safety problems. There are numerous environmental incidents reported almost daily in the media, causing embarrassment to local and federal authorities. Looking at issues as diverse as the urgent needs for better flood protection, constructive management of climate change, preservation of tropical forests, control of Pacific trash dumps, preservation of ancient relics, new roads, the problem of disused sites, and the availability of adequate water, it is clear that, looked at from a policy management perspective, a lot of experience has already been gathered. Yet, inadequate policy frameworks and actions are offered on these issues. Part of the problem is that in the policy domain, the emphasis is on the search for perfection but also the ability to demonstrate the existence of 'biodegradables', 'green' activities, 'eco-friendly' products, 'sustainable' developments, 'responsibility', and 'best practice', as well as open certification and eco-labeling programs, which serve as perceptible arguments against the above criticism. (Challoumis, 2022)

What gives some credence to the argument that policy cycles should be illustrated through a 'dark and light', 'success and failure' contrast is the range of possible incentives that countries may be able to take if they wish to exploit the examples given of 'good' practices by initiating constructive emulations of

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either a competitive or representational dimension. Given the necessary

imperative in securing effective responses to environmental and human health

problems, every encouragement should be given in this direction. There is a

need to devote further attention to reasons and incentives for our 'collective

failure' to take a proposed cooperative stance globally. This introduction offers

little more than an overview of the essay's structure and a call for

incorporating the study of policy failures within an international context. The

need to solve environmental and human health and safety problems has

reached crisis proportions, with the repercussions of successes and failures

all having an international dimension. The potential benefits of international

case study comparisons lie in policy lessons that can be drawn from the

comparison of 'good management' practices and the reduced likelihood of the

're-discovery of fire '(Shaw et al.2021).

2. Theoretical Frameworks for Comparative Environmental Policy Analysis

This article is a review of recent studies on the lack of success of the so-

called command and control strategy of environmental policy. It is shown that

this form of policy does not work primarily because it is not able to change

incentives. Environmental policy is hampered by an overall reluctance of

government and government officials, often supported by public opinion, to

buy into effective regulation in environmental and other domains. The political

economy models that have been used to substantiate the reason why

governments do not act as the agents of the public as a whole are discussed

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in the piece. This chapter draws on a variety of theoretical frameworks to

study the success or failure of an environmental policy in a comparative context. We will discuss these theoretical frameworks because of their ability to provide useful tools with which to compare the governance structures and policy strategies that might be used to solve environmental problems. The

discussion of these theories has to result in the idea that policy strategies can

differ when the underlying governance structure is different. That is to say that

a federal, confederal, regional, and centralist or a unitary state might choose

different environmental problem-solving strategies due to underlying

processes between society, politics, and economy. While policy evaluations

on environmental policies have indeed been subject to many of the theories

presented earlier, such evaluations have either used but one of the theories

for their evaluations or have given one of the theories the emphasis in their

discussion. (Hermans & McLeman, 2021)

3. Success Stories in Environmental Policy: Case Studies

A powerful approach to understanding how to design, integrate, and

implement effective environmental policies is to study success. Success has

many avenues, including the path of sustainability. Success stories

demonstrate how to foster the ecological resilience of socio-ecological

systems. They serve as examples to other nations facing the same dilemmas

and challenges. Each case has been selected to illustrate unique aspects of

either the innovativeness of the approach, inclusiveness of the stakeholders in

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the process, the political will necessary to make good policy happen, the

adaptive management necessary to carry out complex directives, or the

remarkable achievement in a very short amount of time. They are labeled

"success stories," and each of the cases should be shining models of success

but do not indicate that success comes immediately without struggle. All of the

case studies show some powerful gains in desired changes, involving either

popular political support or a dramatic biological change to ensure that

change has occurred.

There are at least two important lessons from this study of successful

environmental policies. One, a successful policy is a likely example of what

may work under similar circumstances. Two, effective policies result from an

insight into the unique way that the pieces of the system work. With that in

mind, the following presents seven examples of success and identifies the

attributes that make them stand out as successful in each of the success

stories. It appears that a critical piece to many of the success stories is

measurement. Although frequently a political process, without measurements,

tracking, or a reliable way to differentiate the sustainability of a process or

project, government cannot assess the validity of public policy. Moreover, the

use of numbers in a case appears to indicate "the will to measure" public

assets. (Ahmad et al., 2022)

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3.1. Renewable Energy Policies in Germany

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The German government welcomed the Energy Concept in September 2010

and thus set the future for a more environmentally friendly electricity supply,

the public transport system, the expansion of renewable energy, and greater

energy efficiency. There was also an interest in how to financially secure the

costs of the nuclear waste issue at the time.

Energy policy strategies in Germany have unquestionably shown a number of

possible environmental intervention success stories. Member states take note

of the consistent commitment throughout the years and the wide span of

disseminated policies and organizations in place for the successful

development of these areas.

Communicated in 2010 and further expanded on in 2014 and 2016, the

Energiewende (energy transition) has been driving the country's conceptual

move away from nuclear and fossil fuels in the electricity sector and towards

expanding renewable energy sources and the energy economy. The country

offers a significant case study and often stands as an example to other

ambitious adopters of renewable energy transition pathways. The

establishment of a feed-in tariff in 1991 by the Federal Ministry for Economics

established tax and levy exceptions for renewable energy producers in 1999

and 2000. Numerous financial support mechanisms from 1991 also created

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investment subsidies for renewables between 1997 and 1999, a 100 million

euro Wave and Tidal Energy subsidy program in 2007, and a 500 million euro

offshore wind grant scheme in 2000, amongst a number of other existing

operations. Parliamentary votes show consistent support for the Hausen

depot and their advancements in promoting renewable energies. (Mayer,

2021)

3.2. Plastic Waste Management in Rwanda

Many countries have problems with plastics and packaging waste. There is

one notable success story of a country that has shown a tremendous capacity

for managing and controlling its plastic waste problems. Plastic bags were

banned in 2006 in Rwanda as part of a broader series of measures to control

and improve waste management in this country. This move has made

Rwanda a leader in plastic waste management in Africa and has transformed

the environment of this East African country. This example illustrates a

powerful experience of an upcoming story for Kenya. The country has

developed a robust legal, policy, and regulatory infrastructure to create the

necessary framework for controlling plastic bag production, import, distribution,

and use. Substantial efforts have been made to clean up urban and rural

areas and improve overall environmental hygiene in the country. There are

many environmental initiatives and lessons that can be learned from Rwanda.

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Rwanda implemented a ban on the use of thin plastic bags in 2006 after their role in flooding became apparent. Popularly known as 'umuganda', the Rwandan government used the weekly working and cleaning days where the community at large gathers for clean-up and community work to begin dismantling the plastic menace that was scattered all over the once beautifully scenic landscapes of the country. With the ban on plastic bags, the country embarked on the process of providing alternatives to prevent complete chaos as well as potential loss of jobs. This is relevant for the government providing enabling factors to alternatives including deterrent policies, investments, and start-up support that are needed to drive the economy. Throughout the country, mainly women started the collection process and were grouped in cooperatives; recycling plants and sorting sites were established, enabling every household to return plastic bags to the collection center. The approach enables all people, including poor informal collectors who often have a foot in the larger waste segregation and recycling business, to make a living. A comprehensive policy was developed including sustainable alternatives based on the material parameters of economic practicability, environmental impacts, and social acceptance. In 2008, Rwanda started phasing out plastic drinking straws.

4. Failures in Environmental Policy: Case Studies

The struggle to pay proper attention to environmental impact and the desperate inadequacies of current environmentally oriented policies

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approximate and preempt the total eradication of several ecosystems and

human populations in, among other places, Indonesia, where entire swaths of

rainforest are cut and burned, laying waste to not simply an environmental

balance but a way of life for those indigenous populations living amidst these

systems. The total incineration of an ecosystem such as the tropical rainforest

in Borneo has consequences that can be as far-reaching as the extinction of

thousands of species of plants and animals. Supporting government practices

include the draining of rare species' habitats to facilitate palm oil production—

though despite its cost to the environment, the end result represents a

disproportionately small percentage of many nations' industrial and

agricultural base.

In addition to the ever-widening impact on the environment, equally, if not

more severe, effects accrue to the indigenous populations who subsist using

such resources — economic and environmental exploitation and domination

are compounded with forced assimilation and cultural oppression. Other

situations, such as development at the expense of sustenance fishing in

Mozambique and piling household sewage in the streets of Moscow despite

advanced water treatment capabilities, come down to implementation, where

policy may exist and be solid on paper but is not enforced or effectually

carried out due to equally diverse impasses. More often than not, the failure of

legislation and policy exists, on some level, within the enforcement of that

policy; it is ultimately non-existent or insufficient political will to carry out the

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directive that also, as a consequence, informs crucial public perception

wherever knowledge of a given issue is widespread. Sixteen years following

the breakup of the USSR, less than 3 percent of Russian grassroots activists

believe effective environmental legislation to be in effect. (Prăvălie et al.2021)

4.1. Deforestation in the Amazon Rainforest

Deforestation in the Amazon Rainforest is an example of policy failure and

losing victory against powerful economic incentives. Approximately 80% of

deforestation is caused by land conversion from small farms to large

agricultural businesses. Various procedures such as illegal logging, murders,

cattle ranching, vehicle disturbances, and gold mining are other drivers for the

continuation of deforestation. Also, laws to provide the Amazon habitat with

protection have not been enforced. Governments have an insufficient budget

and infrastructure regarding control mechanisms, and conditions allow illegal

activities to occur. Most of the protected areas and indigenous people are not

allowed to thrive because of a lack of resources to withstand hostility.

Indigenous people who live in poverty and use illegal money or have lost their

land can lead to internal conflict. Splitting forests also gives a constant and

direct impact on the soil erosion process, leading to flooding. Deforestation

has significantly changed the Amazon ecosystem and affected the habitat

needed to decrease the number of species that can spread regionally in the

United States and many lands distributed worldwide. Approximately half of the

planet's plants, insects, and birds have been diminished from an ideal peak by

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the Amazon. The multiplication of traditional knowledge that has been passed

on for all generations has been completely lost. Meanwhile, the very fast rate

of deforestation will contribute greatly to global warming and climate change.

Cooperating globally to significantly reduce deforestation between now and

2030 could prevent global temperatures from rising around 2 °C. Preserving

natural forests continues to become a priority for the coming decade. In light

of failed policies and agrarian reform without the power and willingness for a

better life, it seems that the indigenous peoples, local people, and habitat in

the Amazon will lose the rest of the area. This will provide a breathtaking base

where the surrounding area will not be easily regained or recreated.

4.2. Water Pollution in China

China is facing an alarming water pollution crisis, particularly in its peri-urban

and rural regions. Nearly 80% of Chinese cities reported groundwater

pollution in 2020, while nearly 6% were deemed "severely polluted." A big

driver of water pollution is China's rapid industrialization and urbanization

post-1978. Factories discharge high volumes of effluent, while construction

activities unleash sediment and heavy contaminant loading downstream.

Residential areas that are not connected to sewage networks have re-

emerged because of China's rapid urbanization prior to the development of

functional sewage systems. Inadequate and deteriorating regulatory

frameworks have also been posing major water pollution problems. In many

instances, local government complacency or corrupt practices are often to

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blame. In some cases, industrial water allocations are conditional on

discharges meeting set emission reduction targets for primary pollutants, but it

is well-documented that some local environmental protection bureaus have

turned a blind eye to these discharges. Many of these industries are small and

independent and outside the reach of centralized regulatory oversight

undertaken by the central authorities. (Biermann, 2022)

Surface waters are deteriorating as the economy grows, predominantly in the

rural and peri-urban areas, where rivers are used for both waste discharge

and as sources of water for consumption and agricultural production. Drinking

water in these areas has been and is continually found to have levels of

contamination that can affect human health. Many of the by-products of

eutrophication in China's shallow marine and estuarine systems result in

harmful algal blooms, which have - in some areas - caused extensive

damage to aquaculture, coastal ecosystems, and public health. Many harmful

algal blooms have been linked to floods delivering nutrients from the soil,

domestic sources, and also partially from industrial origins. In the coastal

South China Sea, anthropogenic nutrient supply is so massive and the area of

affected waters is so wide-reaching that algal blooms are not only a local

problem but are altering the large-scale biogeochemistry and ecology of an

area covering 300,000 km². The problem of water pollution is further

exacerbated in the northwestern regions due to soil degradation and

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competing water uses that now pose further constraints on rural and peri-

urban development. (Pörtner et al., 2021)

While China's need to prioritize economic growth over the environment in the

recent past is probably the most significant policy failure, the government

began amending central and local policies, laws, and institutions to combat

pollution caused by industrial and urban activities in the late 1980s. The

amendments to these laws and institutions have begun to address some of

these issues. However, after five more years of suppressed environmental

concerns, water pollution began to increase again. Central and local policies,

laws, and institutions do not address freshwater use and development

comprehensively from a sustainable use, reuse, and rehabilitation point of

view because they are typically managed by sector, i.e., industry, agriculture,

and an individual source type, rather than an integrated management

approach that takes into account goods, ecosystem services, and health

implications at a watershed and larger scale. While there are a number of new

central government policies and programs that focus on pollution reduction in

surface waters in China and therefore address water pollution issues, the

long-term effects and the efficiency of these approaches are unknown. There

is little emphasis from water authorities in their efforts and policy emphasis on

reversing or cleaning up the impacts of decades of incremental water pollution.

(Coscieme et al., 2021)

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5. Key Factors Contributing to Success or Failure

A number of factors explain why environmental governance is more successful in some places and under certain circumstances than in others. Political stability and the economy are important elements influencing environmental governance, as well as public involvement in decision-making. Furthermore, institutional arrangements purposefully designed to protect environmental interests and encourage cooperation between different agencies will contribute to the successful formulation and execution of environmental policy. To mitigate externalities and encourage nature conservation, the availability of economic instruments is an important factor.

Even though economic incentives such as taxes, tradable emission permits, and subsidies contribute to better pollution control, it is not enough to rely solely on the market. Some other important factors are found from the international point of view, such as international cooperation and networking between authorities and institutions on different levels at different geographical scales. The exchange and processing of knowledge and experience gained in various nation-states or activities may enhance the understanding and skills involved in environmental protection and administrative settings. This, in turn, may lead to improvements. Hence, the factors giving environmental governance a chance to function in Sweden include stable economic and political circumstances, good institutional arrangements, and a well-developed EPA. Moreover, instruments like the

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network and exchange of knowledge and experience with other international

bodies and countries are also significant. The factors preventing

environmental governance from functioning in Russia are, for example,

economic factors such as funding and the organization of the industry.

Therefore, the purpose of this paper is to investigate and compare

environmental problems in the Russian Federation and Sweden — one a

success story, the other a failure. What are the key factors contributing to the

success or failure in environmental problems? What are the key factors

contributing to the success or failure in environmental policy formulation?

6. Conclusion and Future Directions

The comparative analysis of environmental policies against the disclosure of

their stories of success and failure conveys a series of important lessons.

There is no single set of universal policy "recipes," but potentially useful

comparative insights can help align policy interventions to societal culture,

economy, political structures, and geography. Evidence from interviews with

stakeholders confirms this: unfamiliar policy elements undermine success as

such policy ideas are "not us." Comparative case studies illustrate that this

commonality of findings and lessons between multiple assessments further

justifies a commitment to detailed environmental policies as tools for

furthering sustainability.

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This paper has discussed the lessons learned from a limited number of

successful and unsuccessful policy cases. Efforts towards successful

environmental policy in an ever-changing global environment require a holistic

approach to both the environment and socio-economic development, as well

as contextual, process-oriented, and learning-based policy development.

Research is required on breaking national boundaries for policy governance,

as quickly changing ecosystems are more efficiently tackled by multi-country

innovation, triggering a race towards more sustainable socio-ecological

systems. Learning from local change needs to be fed back to international

policy interventions for these global environmental challenges to enhance

general, promising practice. In sum, an informed learning-based, co-

evolutionary approach to environmental governance will optimize the

efficiency of ecological and human system resilience as compared to a top-

down directive, informatic-driven approach.

Responses to environmental degradation should align with a comprehensive

national and international vision that informs policy interventions. New or

improved holistic visions emphasize a commitment to avoiding

unsustainability, aspiring for sustainability, or ensuring sustainability. Future

efforts should focus on monitoring and evaluating these visions and

governance actions adopted by society.

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